

M&S Investment Plan Application form

Source code

Please copy from your covering letter

This is NOT an application form for an ISA.
Please complete in BLOCK CAPITALS.

Personal details – please refer to note 1 on the important information page

Applicant 1

If you are an existing investor with M&S Money, please enter your client reference here:

Title: Mr/Mrs/Miss/Ms/Other Surname

Forename(s) in full (not initials)

Address (permanent residence)

Postcode

Home telephone number* Work telephone number*

Mobile telephone number* *We may call you in the future concerning your investment.

Email address Date of birth (DD/MM/YYYY) / /

Country of nationality

If you are buying shares on behalf of a minor, please enter their full name(s) and date(s) of birth below.

Applicant 2

Title: Mr/Mrs/Miss/Ms/Other Surname

Forename(s) in full (not initials)

Address (permanent residence)

Postcode

Date of birth (DD/MM/YYYY) / / Country of nationality

Funding details – please refer to note 2 on the important information page

Applicant 1

How will you typically fund this account?

Please select **one** option below. If the main source of funding is not in the options below, please select 'Other' and specify.

- | | | | |
|--|--------------------------------------|--|---|
| Salary/Income <input type="checkbox"/> | Family gift <input type="checkbox"/> | Sale of property/assets <input type="checkbox"/> | Lottery/Gaming win <input type="checkbox"/> |
| Savings/Investments <input type="checkbox"/> | Benefits <input type="checkbox"/> | Pension <input type="checkbox"/> | Inheritance <input type="checkbox"/> |
| Other – please specify <input type="checkbox"/> <input type="text"/> | | | |

Gross annual income:

- | | | | |
|--|--|--|--|
| £10,000 or less <input type="checkbox"/> | Up to £20,000 <input type="checkbox"/> | Up to £30,000 <input type="checkbox"/> | Up to £40,000 <input type="checkbox"/> |
| Up to £50,000 <input type="checkbox"/> | Up to £60,000 <input type="checkbox"/> | Up to £70,000 <input type="checkbox"/> | £70,000 or more <input type="checkbox"/> |

PLEASE COMPLETE THE REST OF THE FORM OVERLEAF

This section should only be completed if you wish to invest on a monthly basis.

Instruction to your bank or building society to pay Direct Debits.

Please fill in the whole form and return it to: Savings & Investments department, M&S Money, Kings Meadow, Chester CH99 9UT
Please supply verified bank details in order to set up your Direct Debit. Please see note 4 of the important information page for further information.

1. Name and address of your bank/building society branch.

To: the manager of bank/building society

Address

Postcode

2. Name(s) of account holder(s)

3. Branch sort code

Banks and building societies may not accept Direct Debit instructions for some types of account.

Service User Number 2 5 6 8 2 5



4. Bank or building society account number

5. Client reference number (if known)

6. Your instruction to the bank/building society.
Please pay Marks & Spencer Financial Services plc Direct Debits from the account detailed in the instruction subject to the safeguards assured by the Direct Debit Guarantee.
I understand that this instruction may remain with Marks & Spencer Financial Services plc, and if so, details will be passed electronically to my bank or building society.

Signature of first or only account holder

Date

Signature of second or joint account holder (if applicable)

Date

For M&S Money official use only. This is not part of the instruction to your bank or building society.

Employment status:

Employed full time Employed part time Self employed At home
Student Unemployed Retired/Receiving pension Receiving disability benefit

If you selected either employed full time, employed part time or self employed, please complete the information below:

Occupation Employer's/Business name

How will you fund this transaction?

Please select **one** option below. If your method of funding this particular transaction is not in the options below, please select 'Other' and specify.

Salary/Income Family gift Sale of property/assets Lottery/Gaming win
Savings/Investments Benefits Pension Inheritance
Other – please specify

Applicant 2

How will you typically fund this account?

Please select **one** option below. If the main source of funding is not in the options below, please select 'Other' and specify.

Salary/Income Family gift Sale of property/assets Lottery/Gaming win
Savings/Investments Benefits Pension Inheritance
Other – please specify

Gross annual income:

£10,000 or less Up to £20,000 Up to £30,000 Up to £40,000
Up to £50,000 Up to £60,000 Up to £70,000 £70,000 or more

Employment status:

Employed full time Employed part time Self employed At home
Student Unemployed Retired/Receiving pension Receiving disability benefit

If you selected either employed full time, employed part time or self employed, please complete the information below:

Occupation Employer's/Business name

How will you fund this transaction?

Please select **one** option below. If your method of funding this particular transaction is not in the options below, please select 'Other' and specify.

Salary/Income Family gift Sale of property/assets Lottery/Gaming win
Savings/Investments Benefits Pension Inheritance
Other – please specify

Investment options

Minimum lump sum is £500 per fund. Minimum amount per month per fund is £25. Income will be reinvested automatically. For monthly investment please complete the Direct Debit mandate on the previous page and enclose a personal cheque from the same account as your Direct Debit will be drawn for the first payment.

I wish to invest the following amounts:

	Lump sum	Regular monthly investment
HSBC World Selection – Cautious Portfolio	£ <input type="text"/>	£ <input type="text"/>
HSBC World Selection – Balanced Portfolio	£ <input type="text"/>	£ <input type="text"/>
HSBC World Selection – Dynamic Portfolio	£ <input type="text"/>	£ <input type="text"/>

PLEASE COMPLETE THE REST OF THE FORM AND SIGN OVERLEAF

Cheques – please refer to note 3 on the important information page

I enclose a cheque made payable to Marks & Spencer Financial Services plc re (your title and name) for £

Debit cards – please refer to note 3 on the important information page

I authorise you to debit my account with the amount of £

My card is a: Maestro Visa Debit Debit MasterCard

Cardholder's name (as it appears on your own card)

Maestro/Visa Debit/Debit MasterCard number (main number of 16 digits or more printed on your card)

Valid start date Expiry date

Issue number (Maestro cards only)

Card verification code (The last three digits printed on the signature strip on the reverse of your card)

Account withdrawals – please refer to note 4 on the important information page

Complete this section so that amounts withdrawn from your account can be sent directly to your bank/building society account.

Name of account holder(s)

Branch sort code - -

Account number

Building society roll number (if applicable)

Bank/building society name Address

Postcode

Declaration and signature

I/We have received the Key Features document.

I/We agree to the M&S Investment Plan Terms and Conditions.

I/We declare this application form has been completed to the best of my/our knowledge and belief.

This application form and the M&S Investment Plan Terms and Conditions comprise the agreement between us.

Applicant 1

Signature

Date

Applicant 2

Signature

Date

Print name

Print name

Data policy: IMPORTANT – use of your information

You have the right to know how we will use your personal information. It is important you read the notice below and “Your Personal Details” section of our Investment Plan Terms and Conditions.

With your permission we, other members of the Marks and Spencer Group, members of the HSBC Group and other organisations approved by Marks and Spencer plc, may share information about you to enable each of us to keep you informed about special offers, products and services available from the Marks and Spencer Group, the HSBC Group and selected third parties by post, telephone, email, mobile text and picture messaging. You agree that, to determine which products or services may be of interest to you, information about you and the conduct of your account may be analysed. If you do not want us to contact you about such special offers, products and services please tick the box.

IMPORTANT: Please read the checklist below BEFORE posting your application form.

Call 0808 006 6666 if you have any questions or need help completing your application form.

Opening hours 8am-8pm Monday to Friday, 9am-5pm Saturday. Calls may be recorded.

M&S Investment Plan application form checklist

- 1) If you have enclosed a cheque please ensure that it is made payable to Marks & Spencer Financial Services plc re (your title and name). Please see note 3 on the important information page.
- 2) Have you filled in your full forenames, surname, country of nationality, and date of birth in the personal details section?
- 3) Have you filled in the details about how you will typically fund this account, your gross annual income, employment status and how you are funding this transaction in the fund details section?
- 4) Have you chosen which HSBC World Selection Portfolio(s) you wish to invest in and in what proportions?
- 5) If you are investing by monthly Direct Debit, have you enclosed a personal cheque for the first monthly payment from the same account as the Direct Debit will be drawn from, as detailed in note 3 of the important information page?
- 6) Have you signed where the **X** indicates and dated the application form and Direct Debit mandate if you are investing monthly?