

# M&S Investment ISA Transfer form

Source code

Please copy from your covering letter

Use this form to transfer an ISA to us. Please complete in BLOCK CAPITALS.

## Personal details – please refer to note 1 on the important information page

If you are an existing investor with M&S Money, please enter your client reference here:

Title: Mr/Mrs/Miss/Ms/Other  Surname

Forename(s) in full (not initials)

Address (permanent residence)

Postcode

Home telephone number\*  Work telephone number\*

Mobile telephone number\*  \*We may call you in the future concerning your investment.

Email address  Date of birth (DD/MM/YYYY)  /  /

Do you have a National Insurance (NI) number? Yes  No

If you have a temporary National Insurance number please tick the 'No' box above.

The ISA Regulations require that if you have a National Insurance number you must enter it here:

You should be able to find your National Insurance number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or pension order book.

## Existing ISA manager details – please refer to note 5 on the important information page

If you are transferring from an existing M&S Money ISA product there is no need to complete this section, but you must complete the section below headed "Transfers from M&S Money ISA products"

Name of company

Head Office address

Postcode

Telephone number  Account number

## Transfers from M&S Money ISA products

If you wish to transfer from an existing M&S Money ISA product please provide your client reference number in the 'Personal details' section above and tick the appropriate boxes below to confirm the product you are transferring.

I wish to transfer to the M&S Investment ISA my existing:

M&S Cash ISA with Marks & Spencer Financial Services plc

M&S Stocks and Shares ISA with Marks and Spencer Unit Trust Management Limited

M&S Corporate ISA with Marks and Spencer Savings and Investments Limited

## Account withdrawals – please refer to note 4 on the important information page

Complete this section so that amounts withdrawn from your account can be sent directly to your bank/building society account.

Name of account holder(s)

Branch sort code -- Account number

Building society roll number (if applicable)

Bank/building society name  Address

Postcode

## Nationality & employment details

Please refer to notes 1 & 2 on the important information page.

Country of nationality

### Gross annual income:

£10,000 or less  Up to £20,000  Up to £30,000  Up to £40,000

Up to £50,000  Up to £60,000  Up to £70,000  £70,000 or more

### Employment status:

Employed full time  Employed part time  Self employed  At home

Student  Unemployed  Retired/Receiving pension  Receiving disability benefit

If you selected either employed full time, employed part time or self employed, please complete the information below:

Occupation  Employer's/Business name

**PLEASE COMPLETE THE REST OF THE FORM AND SIGN OVERLEAF**

## Transfer details

I apply to transfer my existing ISA as shown to Marks & Spencer Financial Services plc.

You can transfer either a cash ISA or a stocks and shares ISA to the M&S Investment ISA. If you are transferring a stocks and shares ISA we will instruct your existing ISA manager to sell your existing investments and send us the proceeds of sale.

A current tax year ISA must be transferred as a whole. Partial transfers are not permitted.

If you are transferring the current tax year tick this box.

A previous tax year ISA can be transferred in whole or in part. Please indicate below which of the following is to be transferred:

The entire ISA  Part of the ISA\*  \*Amount to be transferred £

## How do you wish to invest the amount transferred?

I wish to invest the amount transferred as follows:

HSBC World Selection – Cautious Portfolio  %

HSBC World Selection – Balanced Portfolio  %

HSBC World Selection – Dynamic Portfolio  %

**The total must equal 100%**

## Monthly Direct Debits – existing M&S Money ISA products

Please note any Direct Debit instructions for monthly payment into the M&S Cash ISA and M&S Stock and Shares ISA will continue unless you notify us otherwise. If you are transferring current tax year subscriptions then any monthly Direct Debit payment into the M&S Stocks and Shares ISA will be cancelled. To set up a Direct Debit instruction for monthly payments or to make subsequent payments into the M&S Investment ISA you will need to complete an application form and a new Direct Debit mandate (see page titled M&S Investment ISA Application Form).

## ISA transfer declaration and signature

I declare that:

- I am 18 years of age and over

I authorise Marks & Spencer Financial Services plc:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of my ISA investments and any other cash
- To make on my behalf any claims to relief from tax in respect of ISA investments

We will instruct your existing manager to sell your existing investments and send us the proceeds of sale.

Please accept this as authority to transfer my ISA to Marks & Spencer Financial Services plc.

To meet the requirements of the Data Protection Act, I give permission to both Marks & Spencer Financial Services plc and

my existing ISA manager to discuss any details relating to my existing ISA in order to ensure the completion of the transfer.

I have received the Key Features document.

I agree to the M&S Investment ISA Terms and Conditions.

I declare this application form has been completed to the best of my knowledge and belief.

This application form and the M&S Investment ISA Terms and Conditions comprise the agreement between us.

Signature

X

Date

X

## Data policy: IMPORTANT – use of your information

You have a right to know how we will use your personal information. It is important that you read the notice below and “Your Personal Details” section of our Investment ISA Terms and Conditions.

With your permission we, other members of the Marks and Spencer Group, members of the HSBC Group and other organisations approved by Marks and Spencer plc, may share information about you to enable each of us to keep you informed about special offers, products and services available from the Marks and Spencer Group, the HSBC Group and selected third parties by post, telephone, email, mobile text and picture messaging. You agree that, to determine which products or services may be of interest to you, information about you and the conduct of your account may be analysed. If you do not want us to contact you about such special offers, products and services please tick the box.

**IMPORTANT: Please read the checklist below BEFORE posting your application form.**

**Call 0808 006 6666 if you have any questions or need help completing your application form.**

Opening hours 8am-8pm Monday to Friday, 9am-5pm Saturday. Calls may be recorded.

## M&S Investment ISA transfer form checklist

- 1) Use the pre-paid envelope provided and we will arrange the transfer. Please do not send this form to your existing ISA manager. Have you given your existing provider notice (if applicable)?
- 2) Have you filled in your residential address, full forenames, surname, National Insurance number and date of birth in the personal details section? Without these we may not be able to complete the transfer.
- 3) Have you completed the nationality and employment section?
- 4) If you have ISAs with more than one provider, have you completed a separate transfer form for each provider?
- 5) Have you chosen which HSBC World Selection Portfolio(s) you wish to invest in and in what proportions?
- 6) Have you signed where the X indicates and dated the declaration?