

# M&S Investment ISA Application form

Source code

Please complete in BLOCK CAPITALS.

Please copy from your covering letter

## Personal details – please refer to note 1 on the important information page

If you are an existing investor with M&S Money, please enter your client reference here:

Title: Mr/Mrs/Miss/Ms/Other  Surname

Forename(s) in full (not initials)

Address (permanent residence)

Postcode

Home telephone number\*  Work telephone number\*

Mobile telephone number\*  \*We may call you in the future concerning your investment.

Email address  Date of birth (DD/MM/YYYY)  /  /

Country of nationality

Do you have a National Insurance (NI) number? Yes  No

If you have a temporary National Insurance number please tick the 'No' box above.

The ISA Regulations require that if you have a National Insurance number you must enter it here:

You should be able to find your National Insurance number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or pension order book.

## Funding details – please refer to note 2 on the important information page

### How will you typically fund this account?

Please select **one** option below. If the main source of funding is not in the options below, please select 'Other' and specify.

Salary/Income  Family gift  Sale of property/assets  Lottery/Gaming win

Savings/Investments  Benefits  Pension  Inheritance

Other – please specify

### Gross annual income:

£10,000 or less  Up to £20,000  Up to £30,000  Up to £40,000

Up to £50,000  Up to £60,000  Up to £70,000  £70,000 or more

### Employment status:

Employed full time  Employed part time  Self employed  At home

Student  Unemployed  Retired/Receiving pension  Receiving disability benefit

If you selected either employed full time, employed part time or self employed, please complete the information below:

Occupation  Employer's/Business name

### How will you fund this transaction?

Please select **one** option below. If your method of funding this particular transaction is not in the options below, please select 'Other' and specify.

Salary/Income  Family gift  Sale of property/assets  Lottery/Gaming win

Savings/Investments  Benefits  Pension  Inheritance

Other – please specify

**PLEASE COMPLETE THE REST OF THE FORM OVERLEAF**

### This section should only be completed if you wish to invest on a monthly basis.

#### Instruction to your bank or building society to pay Direct Debits.

Please fill in the whole form and return it to: Savings & Investments department, M&S Money, Kings Meadow, Chester CH99 9UT  
Please supply verified bank details in order to set up your Direct Debit. Please see Note 4 of the important information page for further information.

1. Name and address of your bank/building society branch.  
To: the manager of bank/building society

Address

Postcode

2. Name(s) of account holder(s)

3. Branch sort code

Banks and building societies may not accept Direct Debit instructions for some types of account.

Service User Number



4. Bank or building society account number

5. Client reference number (if known)

6. Your instruction to the bank/building society.  
Please pay Marks & Spencer Financial Services plc Direct Debits from the account detailed in the instruction subject to the safeguards assured by the Direct Debit Guarantee.  
I understand that this instruction may remain with Marks & Spencer Financial Services plc, and if so, details will be passed electronically to my bank or building society.

Signature of first or only account holder   Date

Signature of second or joint account holder (if applicable)   Date

### For M&S Money official use only. This is not part of the instruction to your bank or building society.

HSBC World Selection – Cautious Portfolio  HSBC World Selection – Balanced Portfolio  HSBC World Selection – Dynamic Portfolio

## ISA options

I apply to subscribe for a stocks and shares ISA for the tax year 2012/13 (6 April 2012 to 5 April 2013) and each subsequent tax year until further notice. All our applications are accepted on a rolling basis, which means that if you wish to save in the next tax year you won't have to complete a new application. Please note however, that this is an optional arrangement and you are under no obligation to make further investments with us.

### Investment options

Your total investment must not exceed £11,280, less any amount you have subscribed to a cash ISA this tax year.

### Lump sum

Minimum lump sum £500 per fund. Income will be reinvested automatically.

### Monthly investments

Minimum amount per month per fund is £25. Income will be automatically reinvested. For monthly investments please complete the Direct Debit mandate on the previous page and enclose a personal cheque from the same account as your Direct Debit will be drawn from for the first payment.

I wish to invest the following amounts:

	Lump sum	Regular monthly investment
HSBC World Selection – Cautious Portfolio	£ <input type="text"/>	£ <input type="text"/>
HSBC World Selection – Balanced Portfolio	£ <input type="text"/>	£ <input type="text"/>
HSBC World Selection – Dynamic Portfolio	£ <input type="text"/>	£ <input type="text"/>

## Cheques – please refer to note 3 on the important information page

I enclose a cheque made payable to Marks & Spencer Financial Services plc re (your title and name) for

£

## Debit cards – please refer to note 3 on the important information page

I authorise you to debit my account with the amount of £

My card is a: Maestro  Visa Debit  Debit MasterCard

Cardholder's name (as it appears on your own card)

Maestro/Visa Debit/Debit MasterCard number (main number of 16 digits or more printed on your card)

Valid start date     Expiry date

Issue number (Maestro cards only)

Card verification code    (The last three digits printed on the signature strip on the reverse of your card)

## Account withdrawals – please refer to note 4 on the important information page

Complete this section so that amounts withdrawn from your account can be sent directly to your bank/building society account.

Name of account holder(s)

Branch sort code

-    -

Account number

Building society roll number (if applicable)

Bank/building society name

Address

Postcode

**PLEASE COMPLETE THE REST OF THE FORM AND SIGN OVERLEAF**

## Declaration and signature

I declare that:

- All subscriptions made, and to be made, belong to me
- I am 18 years of age or over
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Marks & Spencer Financial Services plc if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties

I authorise Marks & Spencer Financial Services plc:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- To make on my behalf any claims to relief from tax in respect of ISA investments

I have received the Key Features document.

I agree to the M&S Investment ISA Terms and Conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

This application form and the M&S Investment ISA Terms and Conditions comprise the agreement between us.

Signature

X

Date

X

Print name

## Data policy: IMPORTANT – use of your information

You have a right to know how we will use your personal information. It is important that you read the notice below and “Your Personal Details” section of our Investment ISA Terms and Conditions.

With your permission we, other members of the Marks and Spencer Group, members of the HSBC Group and other organisations approved by Marks and Spencer plc, may share information about you to enable each of us to keep you informed about special offers, products and services available from the Marks and Spencer Group, the HSBC Group and selected third parties by post, telephone, email, mobile text and picture messaging. You agree that, to determine which products or services may be of interest to you, information about you and the conduct of your account may be analysed. If you do not want us to contact you about such special offers, products and services please tick the box.

**IMPORTANT: Please read the checklist below BEFORE posting your application form.**

**Call 0808 006 6666 if you have any questions or need help completing your application form.**

Opening hours 8am-8pm Monday to Friday, 9am-5pm Saturday. Calls may be recorded.

## M&S Investment ISA application form checklist

- 1) If you have enclosed a cheque please ensure that it is made payable to Marks & Spencer Financial Services plc re (your title and name). Please see note 3 on the important information page.
- 2) Have you filled in your residential address, full forenames, surname, country of nationality, National Insurance number and date of birth in the personal details section? Unfortunately HM Revenue & Customs will not allow us to accept applications that only note your initials.
- 3) Have you filled in the details about how you will typically fund this account, your gross annual income, employment status and how you are funding this transaction in the fund details section?
- 4) Have you chosen which HSBC World Selection Portfolio(s) you wish to invest in and in what proportions?
- 5) If you are investing by monthly Direct Debit, have you enclosed a personal cheque for the first monthly payment from the same account as the Direct Debit will be drawn from, as detailed in note 3 of the important information page?
- 6) Have you signed where the X indicates and dated the application form and Direct Debit mandate if you are investing monthly?